



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re application of: Woodward et al.

Attorney Docket No.: WVANP012

Application No.: 09/813,235

Examiner: Jasmin, Lynda C.

Filed: March 19, 2001

Group: 3627

Title: TECHNIQUE FOR HANDLING SALES OF  
REGULATED ITEMS IMPLEMENTED  
OVER A DATA NETWORK

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on June 28, 2005

Signed: \_\_\_\_\_

Maria Shih

**INFORMATION DISCLOSURE STATEMENT  
37 CFR §§1.56 AND 1.97(b)**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449, copies of non-US patent publications/applications are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits, or (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.

Respectfully submitted,

C. Douglass Thomas  
Reg. No. 32,947

650-903-9200

<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty. Docket No.	WVANP012
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		Page 1 of 5



### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	1.	2,781,643	Feb-57	Fairweather			
	2.	3,406,532	Oct-68	Rownd et al.			
	3.	3,670,867	Jun-72	Traube			
	4.	4,213,310	Jul-80	Buss			
	5.	4,656,591	Apr-87	Goldberg			
	6.	4,887,208	Dec-89	Schneider et al.			
	7.	4,936,738	Jun-90	Brennan			
	8.	5,038,283	Aug-91	Caveney			
	9.	5,093,794	Mar-92	Howie et al			
	10.	5,105,627	Apr-92	Kurita			
	11.	5,122,959	Jun-92	Nathanson et al.			
	12.	5,235,819	Aug-93	Bruce			
	13.	5,237,158	Aug-93	Kern et al.			
	14.	5,246,332	Sep-93	Bernard			
	15.	5,265,006	Nov-93	Asthana			
	16.	5,272,638	Dec-93	Martin et al.			
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	21.	5,428,546	Jun-95	Shah et al.			
	22.	5,533,361	Jul-96	Halpern			
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Examiner	Date Considered
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	Group	3627
		Page 2 of 5

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	31.	5,761,673	Jun-98	Bookman et al.			
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	40.	5,839,117	Nov-98	Cameron et al.			
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	62.	6,233,543	May-01	Butts et al			
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		Page 3 of 5

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	64.	6,260,024	Jul-01	Shkedy			
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	66.	6,289,260	Sep-01	Bradley et al.			
	67.	6,332,334	Dec-01	Faryabi			
	68.	6,341,269	Jan-02	Dulaney et al.			
	69.	6,343,275	Jan-02	Wong			
	70.	6,397,246	May-02	Wolfe			
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	72.	6,445,976	Sep-02	Ostro			
	73.	6,453,306	Sep-02	Quelene			
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	76.	6,505,093	Jan-03	Thatcher et al.			
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	78.	6,530,518	Mar-03	Krichilsky et al.			
	79.	6,567,786	May-03	Bibelnieks et al.			
	80.	6,571,213	May-03	Altendahl et al.			
	81.	6,578,005	Jun-03	Lesaint et al.			
	82.	6,622,127	Sep-03	Klots et al.			
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	85.	6,741,995	May-04	Chen et al.			
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	93.	2002/0188530	Dec-02	Wojcik et al.			
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	Group	3627
		Page 4 of 5

	95.	H1743	Aug-98	Graves et al.			
<b>Foreign Documents</b>							
							Translation
Init.		Document No.	Date	Country	Class	Subclass	Yes No
	A	2696722	Apr-94	French Patent Off.			
	B	WO99/07121	Feb-99	PCT			
<b>Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	C	US Patent Application No. 09/568,570, filed 5/10/2000.					
	D	US Patent Application No. 09/568,571, filed 5/10/2000.					
	E	US Patent Application No. 09/568,572, filed 5/10/2000.					
	F	US Patent Application No. 09/568,603, filed 5/10/2000.					
	G	US Patent Application No. 09/568,613, filed 5/10/2000.					
	H	US Patent Application No. 09/568,614, filed 5/10/2000.					
	I	US Patent Application No. 09/568,823, filed 5/10/2000.					
	J	US Patent Application No. 09/620,199, filed 7/20/2000.					
	K	US Patent Application No. 09/750,385, filed 12/27/2000.					
	L	US Patent Application No. 09/792,400, filed 2/22/2001.					
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	N	"Management of Multi-Item Retail Inventory Systems with Demand Substitution"; Smith, Stephen, Agrawal, Narendra; Operations Research; vol. 48, no. 1, January- February, pp. 50-64.					
	O	Automatic ID News, "20/20 Results Achieved with Technology Trio", September 1995, page. 19.					
	P	Henry Towie, "On the Fast Track with Totaltracks: UPS Deploys Mobile Date Service," Abstract No., XP-000560076, Document Delivery World, Vol. 9, No. 3, 1993, pages 30-31.					
	Q	Hiroo Kawata, "Information Technology of Commercial Vehicles in the Japanese Parcel Service Business," Abstract No., XP-000560489, 1992, pages 371-382.					
	R	KOSTER, Rene de, "Routing Orderpickers in a Warehouse: A Comparison Between Optimal and Heuristic Solutions," IIE Transactions, Vol. 30, No. 5, p 469, May 1998.					
	S	MALONEY, David, "The New Corner Drugstore", May 1, 2000, Modern Materials Handling, Vol. 55, No. 5, page 58.					
	T	PC Foods, "Customer Service Agreement," printed from website: <a href="http://www.pcfoods.com">http://www.pcfoods.com</a> , Abstract No., XP-002245026, 1999, pages 1-2.					
	U	Takashi Sekita, "The Physical Distribution Information Network in the Home-Delivery Business," Japan Computer Quarterly, Abstract No., XP-00.431194, 1990, pages 23-32.					
	V	The Impact of Electronic Data Interchange on Competitiveness in Retail Supply Chain, Brian Fynes et al., IBAR v14n2 pp.16-2 1993.					
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	W	VAN DEN BERG, JEROEN, P, "A Literature Survey on Planning and Control of Warehousing Systems", IIE Transactions Vol. 31, no. 3, p. 751, August 1999.
	X	Vass et al., "The World Wide Web - Everything you (n)ever wanted to know about its server", IEEE, October/November 1998, pp.33-37.
	Y	WILSON, Joe, "Selecting Warehouse Management Software (WMS) for Food Distribution Operations", Frozen Food Digest, October 1998, Vol. 14, No. 1, Page 18.
	Z	Wunnava et al., "Interactive Mulitmedia on the World Wide Web", IEEE, 08/1999, pp.110-115.

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